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# Scattering and Roughness Analysis of Indoor Materials at Frequencies from 750 GHz to 1.1 THz

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**Abstract**—The problem of wave propagation and scattering at terahertz (THz) frequencies has become increasingly important, in particular for accurate modeling of future indoor wireless communication channels. The reflective properties of indoor materials with different surface roughness and dielectric constants are important to explore diffuse scattering for accurate channel modeling. First and foremost, a THz *Swissto12* system is adopted to obtain the first ever transmission measurements for a wide choice of indoor material groups such as *wood, plastic and brick* at frequencies from 750 GHz to 1.1 THz using up-conversion (frequency-domain) method. Both the reflection ( $S_{11}$ ,  $S_{22}$ ) and transmission coefficients ( $S_{12}$ ,  $S_{21}$ ) are measured using this novel and non-invasive electromagnetic technique. The inversion method based on Kramers-Kronig (K-K) relations is then applied to convert the calibrated scatter data into intrinsic material properties (i.e., refractive index, permittivity, absorption coefficient). Then, the surface topography of rough material samples is acquired using surface measurement instruments. Further, the optically smooth ( $\sigma_h/\lambda \ll 1$ ) materials are assorted as most to least rough based on Rayleigh roughness factor. Lastly, the ray tracer considering the Rayleigh-Rice (R-R) scattering model is employed to obtain the maximum achievable reflected paths of the above mentioned indoor material samples at 300 GHz followed by their experimental validation.

**Index Terms**—THz, *Swissto12* MCK, vector network analyzer, material characterization, indoor rough materials, ray tracing.

## I. INTRODUCTION

**F**ACT that many radio scientists around the globe are interested in THz regime (0.3–4 THz) for wireless communications owes to the available overwhelming extreme wide bandwidths that are explicitly attractive for terabit-per-second (Tb/s) wireless applications [1]–[5]. Some of the most anticipated promising 5G applications such as 8K 360 degree videos, vehicle-to-everything (V2X) bidirectional communications, and augmented/mixed reality may require in the near future wireless data transmission capacity beyond 100 Gb/s. In fact, public WiFi hotspots serving users with such high-speeds

and bandwidth-intensive wireless applications also tend to deal with the rapid explosion of wireless data traffic in the coming years. More devices than ever are making matters worse. In addition, the present industrial automation is relying on cables due to the limited range and mobility of the current WiFi data transmission capacity. In other words, it can therefore be said that the present-day wireless networks fall nowhere near to the “wireless everything” or “everything wireless” era. In this work, *wireless* refers to the point-to-point radio systems that propagate inside rooms. Nonetheless, a number of novel applications for high speed data links such as 5G cellular network, terabit wireless local area networks (T-WLANs), terabit wireless personal area networks (T-WPANs), cloud servers and ultrafast kiosk downloads are realizable by taking the advantage of THz frequencies thus cutting the cord to go fully wireless progressing into the “Tera-Era”.

The 100 Gb/s barrier crossover by wireless means incites numerous “real world” applications not only in wireless communications but also in material characterization which is of great interest to the researchers in spectroscopy, imaging, sensing, screening, and many more. Therefore, characterization of indoor material dielectric properties at these frequencies is of paramount importance. It needs to be accomplished with high precision through appropriate measurement and extraction techniques. The material intrinsic properties (i.e., complex refractive index etc.) are so far extensively investigated at lower frequencies, however published information for applications within the THz frequency spectrum region of interest is still limited.

Formerly, only THz time-domain spectroscopy (THz-TDS) system based on down-conversion (time-domain) method has been applied to measure the intrinsic responses of the materials [6]–[9]. This work specifically reports the first ever measurements for a wide choice of indoor material groups such as *wood, plastic and brick* at frequencies from 750 GHz to 1.1 THz using up-conversion (frequency-domain) method employing *Swissto12* material characterization kit (MCK) waveguide system [10] in transmission geometry. At THz frequencies, the common indoor building materials have high reflection and transmission losses [11]. The VNA-based waveguide measurement techniques in transmission mode are the most favorable since the transmission loss in the reflection mode increases as the incident angle to the material is increased further increasing the path length inside the material. The measured S-parameters are converted to complex dielectric properties to obtain frequency dependent refractive index and

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absorption coefficient using the inversion method based on Kramers-Kronig (K-K) relations [12].

The paper is structured as follows. The THz reflection models along with Rayleigh roughness factor are introduced in Section II. Then, the 9 material samples are concisely presented in Section III. This section is further enhanced by describing the two surface measurement tools and the (VNA-based) *Swisstol2* MCK THz transmission waveguide measurements system operating at frequencies from 750 GHz to 1.1 THz. Next, Section IV presents the results and discussions under one umbrella based on the analysis. Section V is exclusively dedicated to the ray tracing simulations conducted at 300 GHz for the chosen 9 material samples prior to the conclusions in Section VI.

## II. THZ REFLECTION MODELS

### A. Fresnel Reflection Model

At THz frequencies, the characteristics of the reflection in case of ideally smooth and homogeneous surfaces such as A1, A3 and B2 (*cf.* Table I) can be described by the well known Fresnel reflection coefficient  $\Gamma$  [13]. The Fresnel reflection coefficient expressions for perpendicular ( $\Gamma_{TE}$ ) and parallel ( $\Gamma_{TM}$ ) polarizations for such smooth surfaces are expressed in [14, p. 21] as

$$\Gamma_{TE}(f, \Theta_i) = \frac{Z \cos \Theta_i - Z_0 \cos \Theta_t}{Z \cos \Theta_i + Z_0 \cos \Theta_t} \quad (1)$$

and

$$\Gamma_{TM}(f, \Theta_i) = \frac{Z \cos \Theta_t - Z_0 \cos \Theta_i}{Z \cos \Theta_t + Z_0 \cos \Theta_i} \quad (2)$$

Here,  $\Theta_i$  is the incident angle,  $\Theta_t = \arcsin(\sin(\Theta_i)Z/Z_0)$  is the angle of refraction,  $Z_0 (= 377 \Omega)$  denotes the free space impedance and  $Z$  being the wave impedance of the reflecting material is calculated as

$$Z = \sqrt{\frac{\mu_o}{\epsilon_o \left( n^2 - \left( \frac{\alpha c}{4\pi f} \right)^2 - j \frac{2n\alpha c}{4\pi f} \right)}} \quad (3)$$

where  $\mu_o$ ,  $\epsilon_o$ ,  $c$ , and  $f$  are free space permeability, permittivity, velocity, and the frequency of the incident wave, respectively.  $\alpha$  is the absorption coefficient and  $n$  is the frequency dependent refractive index of the material samples. The complex refractive indices of the materials are obtained from the method based on K-K relations [12]. From the imaginary part of the complex refractive index, the absorption coefficient of a material can be obtained [15].

### B. Rayleigh-Rice Reflection Model

At lower frequencies, the surface of indoor materials is principally regarded as a smooth surface. However, as the frequency rises into the lower end of THz spectrum (i.e., 300 GHz), the wavelength is so short that it is quite close or even on the order of the surface roughness of optically smooth indoor materials. The optically smooth materials are not ideally smooth since an ideally smooth surface is defined as one for which the standard deviations of surface height  $\sigma_h$  is always

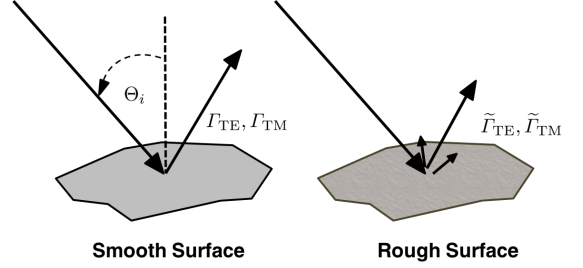


Fig. 1: Illustration of specular reflection by a smooth surface (left) and diffuse reflections by a rough surface (right).

equal to zero regardless of the frequency range. Hence, the term optically smooth implies to the indoor materials which at lower frequencies behave like ideally smooth materials but at THz frequencies the  $\sigma_h \neq 0$  mm for these optically smooth surfaces and the scattering phenomenon is thus more likely to occur. Therefore, in the THz range we can no longer regard every indoor material surface as smooth and must therefore expect the rough surface scattering phenomenon [16]. These scattering losses cause a decrease in the reflected power in the specular direction [17]. In fact, the reflected power in the specular direction from a rough surface is lower than that from a smooth surface as shown qualitatively in Fig. 1. In other words, the modified reflection coefficient  $\tilde{\Gamma}$  should be smaller than the conventional Fresnel reflection coefficient  $\Gamma$  in case of rough surface.

In THz range, the Fresnel reflection coefficients are to be multiplied by the Rayleigh roughness factor to account for the decrease in reflected energy in specular direction due to the slight roughness in these materials [13]. Thus, the scattering losses for the specular reflections are equal to the Rayleigh roughness factor ( $\rho_{\text{spec}}$ ) expressed in [14, p. 81] as

$$\rho_{\text{spec}} = e^{-\frac{g}{2}} = \exp\left(-\frac{8\pi^2 f^2 \sigma_h^2 \cos^2 \Theta_i}{c^2}\right), \quad \rho_{\text{spec}} \in [0, 1] \quad (4)$$

where  $g$  from [14, p. 82] is

$$g = \sigma_h^2 (2\pi f/c)^2 (\cos(\Theta_i))^2 \quad (5)$$

or

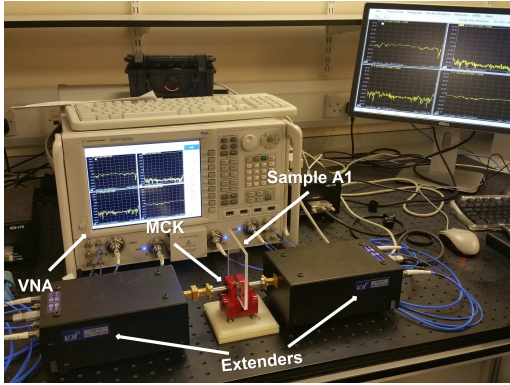
$$g = k^2 \sigma_h^2 (\cos(\Theta_i) + (\cos(\Theta_r)))^2$$

Here,  $g$  is the roughness parameter of a material,  $f$  the frequency of incident wave,  $\sigma_h$  the standard deviation height of surface roughness,  $\Theta_i$  the angle of incidence and reflection relative to surface normal, and  $c$  the velocity of light. Note that for the setup in our study depicting a transmission geometry ( $\Theta_i = \Theta_r = 0^\circ$ ), we have

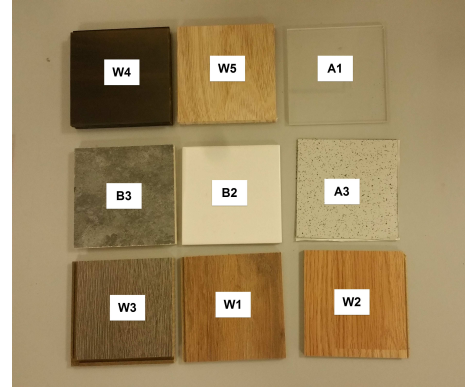
$$\Gamma_{TE} = \Gamma_{TM} \quad (6)$$

Furthermore, the expression in Eq. (6) applies also for very small incident angle ( $\Theta_i \approx 0^\circ$ ). The modified reflection coefficients  $\tilde{\Gamma}$  in the presence of rough surfaces (e.g., W1, W2, W3, W4, W5, and B3) taking into account the different standard deviation heights are then expressed as

$$\tilde{\Gamma}(f, \Theta_i) = \rho_{\text{spec}} \Gamma(f, \Theta_i) \quad (7)$$



(a) MCK Swissto12 System



(b) Material Samples

Fig. 2: The 750 GHz to 1.1 THz VNA system at University of Glasgow.

From Eq. (7), it is apparent that  $\tilde{\Gamma}(f, \Theta_i)$  is always smaller than  $\Gamma(f, \Theta_i)$ . It is noteworthy to mention that for materials which are optically smooth, the conventional reflection coefficients  $\Gamma$  do conform with the measurements for longer wavelengths (i.e., at lower frequencies) but deviate for shorter wavelengths (i.e., at THz frequencies). This is due to the roughness of the optically smooth indoor materials that become evidently rough at THz frequencies and thus scatter. Consequently, this entails the channel models with surface roughness at THz frequencies [18] and the novel scattering algorithms [19]. Thus, in [13] this deviation is rectified by including the Rayleigh roughness factor.

Once  $\Gamma(f, \Theta_i)$  and  $\tilde{\Gamma}(f, \Theta_i)$  are determined, then rearrangement of Eq. (7) evaluates the Rayleigh roughness factor  $\rho_{\text{spec}}$  as

$$\rho_{\text{spec}}(f, \Theta_i, \sigma_h) = \frac{\tilde{\Gamma}(f, \Theta_i)}{\Gamma(f, \Theta_i)} \quad (8)$$

### III. MATERIAL SAMPLES AND ROUGHNESS CHARACTERIZATION

#### A. Description of Material Samples

We characterized three indoor building material groups such as *wood*, *plastic* and *brick* encountered in the indoor wireless propagation channel. The knowledge of the thicknesses of material samples is mandatory in extracting the material parameters and hence, the thicknesses of different indoor materials is measured at five different locations and the average for each material sample is then tabulated in Table I.

#### B. Measurement Instruments for Surface Roughness

The knowledge of the surface topography of rough material samples used in this study is inevitable for accurately analyzing the channel performance and the limitations of THz communication systems. Whilst various indoor materials with different roughnesses are conceivable but a single instrument or measurement technique may not be sufficient for this purpose. Hence, two commercially available instruments namely, Profilometer XP-Plus 200 Stylus [20] and Confocal Microscope  $\mu\text{surf}$  custom [21] based on the confocal-multi-pinhole (CMP), are employed to obtain the statistical information of

TABLE I: LIST OF MEASURED MATERIALS WITH THEIR THICKNESS

Mat. group	ID	Sample	Thickn.
Wood	W1**	HDF <sup>1</sup> (Thick)	7.5 mm
	W2**	HDF <sup>1</sup> (Thin)	5.5 mm
	W3**	HDF <sup>1</sup> (Underlay wood fibre)	12 mm
	W4**	Bamboo (hard wood)	14 mm
	W5**	Natural wood	10.5 mm
Plastic	A1*	Glass-look cast acrylic	4.75 mm
	A3*	Vinyl tile sheet	1.2 mm
Brick	B2*	White ceramic wall tile	6.5 mm
	B3**	Brown ceramic wall tile	9.7 mm

\* smooth surface

\*\* rough surface

<sup>1</sup> HDF: High-Density Fiberboard

the surface structure as well as surface profile. For instance, in case of the material sample brick (B3), the profilometer is incapable of measuring due to its sensitive stylus head tip which tends to get damaged upon recurrent movements on such rough surfaces with sharp peaks and deep valleys. Similarly, the confocal microscope is not of much use when the considered material sample for measurement is big. A short description of the functional principle of these instruments is given below.

**Profilometer XP-Plus 200 Stylus:** This is a computerized, high sensitivity surface profiler that measures roughness, waviness, and step height in a variety of applications. It possesses the ability to measure precision step heights from under 10 angstroms to as large as 1.2 mm. The apparatus comprises of a stylus tip that moves horizontally and vertically on the sample surface for variable lengths preset by the user. This stylus tip is further connected to a transducer which signals these vertical and horizontal movements to an analog to digital converter (ADC). The ADC then transcripts it into digital data which can be processed by the respective computer software such as MatLab for final results. The profilometer comes with its limitations such as a 50 mm x 50 mm material sample requires approximately 5 hours for measurement only. The device

calibration requires almost 15 minutes which thus makes the whole measurement process quite time consuming. However, an added benefit is its capability to measure material samples with maximum of 30 mm thickness and 55 mm scanning length.

**$\mu$ surf Confocal Microscope:** The  $\mu$ surf sensor technology is based on the CMP technology. This equipment has the ability to acquire within seconds the topography, roughness and thickness of a material in the micro and nanometer (nm) ranges. The NanoFocus confocal microscope comes with an LED light source, a rotating multi-pin-hole disc (MPD), an objective lens with a piezo drive and a charge-coupled device (CCD) camera. The LED source is focused through the MPD and the objective lens on to the sample surface. The light is reflected and reduced by the pinhole of the MPD to that part which is in focus and this is directed to the the CCD camera. In contrast to a conventional optical microscope's image that contains both sharp and blurred details, the confocal microscope's image is a capture of the unfocused blurred details. This gives it the leverage to capture high resolution in the nanometer range. Each confocal image represents a horizontal segment through the sample surface. The images are captured at different heights thus producing a stack of these within a few seconds. This image stack is then processed through the respective software to obtain the exact three dimensional height of the sample surface. An important feature of this device is its ability to characterize sample surfaces without contact, being a plus feature in case of sensitive surfaces.

A concise description of the measurement procedure with the roughness values for the different materials obtained from these instruments is presented later in Section IV-B.

### C. Material Characterization Kit *Swisstol2*

The potential of modern THz systems in material characterization offers a unique solution in imaging, sensing, spectroscopy and communication. The academia as well as industry are meanwhile contemplating as to how this emerging THz field can be implemented in a variety of "real world" applications by sharing their experimental database with the world, ranging from the dielectric properties of materials [22], to material surface textures [23] and the molecular spectroscopic database [24].

The experimental system for the THz transmission measurements in this study comprises of three parts, vector network analyzer (VNA), the *Swisstol2* MCK waveguide system, and two frequency extension modules for measuring different indoor materials in the frequency range 750 GHz to 1.1 THz as shown in Fig. 2(a). The chosen THz frequencies are produced by the VNA using the extension modules and the output signal then traverses through a rectangular waveguide. The interconnecting gap between the rectangular frequency extension module waveguide and MCK's corrugated waveguide is bridged by a corrugated conical horn antenna at the narrow aperture transiting from a circular to rectangular waveguide. The purpose for this transitional waveguide design is to accomplish the THz transmission in an enclosed environment with

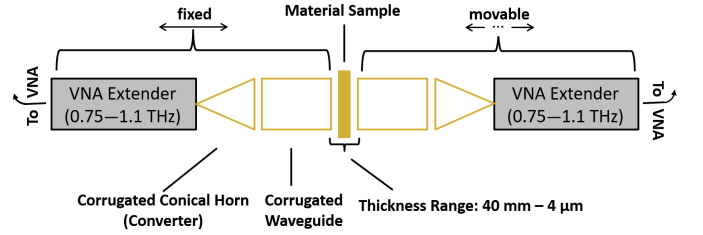


Fig. 3: Schematic diagram of *Swisstol2* MCK.

minimal losses. The transitional horn or corrugated converter more clearly depicted in the schematic layout in Fig. 3 is connected to the rectangular waveguide with adjustable flanges which are of standard size for the VNA extenders accommodating a compatible design for the waveguide converter components. Furthermore, the left hand segment of the setup is fixed as opposed to the movable right one for the ease of characterizing material samples shown in Fig. 2(b) with different thicknesses. Two-port short, open, load, and through (SOLT) WR-01 waveguide standards are acquired to calibrate the measurement equipment. This calibration streamlines the systematic errors between VNA transceivers and waveguide flanges. As the indoor materials are not chemically pure, we have selected two locations and recorded three readings for each at laboratory temperature  $18^\circ\text{C} \pm 0.2^\circ\text{C}$  with humidity  $30\% \pm 2\%$ . The obtained measurement readings are then averaged for further work.

## IV. MEASUREMENT RESULTS AND DISCUSSIONS

### A. Measured Scattering Parameters

The measured  $S_{21}$  and  $S_{11}$  of material groups namely, *wood*, *plastic* and *brick* at frequencies from 750 GHz to 1.1 THz are illustrated in Fig. 4. The fact that the values of  $S_{21}$  for all three groups are quite low indicates the high losses during transmission. These materials can thus be regarded as high-loss materials. Perhaps, the transmission attenuation, thickness of the sample as well as its permittivity, surface scattering, and absorption in the material samples result in these losses. The  $S_{21}$  values for all materials under test (MUTs) indicate considerable variation. For instance, the  $S_{21}$  values for W1, W2, W3, W4, and W5 at 1 THz are -52.09 dB, -49.08 dB, -48.51 dB, -48.95 dB, and -48.62 dB, respectively. Similarly, for B2 and B3 the corresponding  $S_{21}$  values at 1 THz are -49.6 dB and -46.77 dB. Lastly, for A1 and A3 these  $S_{21}$  values at 1 THz are -40.4 dB and -24.65 dB, respectively. Meanwhile, an 8.2 dB difference between  $S_{21}$  values for materials A1 and A3 is conspicuous in Fig. 4(b) at 750 GHz. The recorded data reveals that the  $S_{21}$  values are not only influenced by the thickness but the texture or inner structure of any material may also impact these values. It is also noteworthy to mention here that the thickness may influence the scattering parameters but indeed causes no impact on the intrinsic properties of any material.

The variation in  $S_{11}$  also exhibits an eminent frequency dependence being a function of the thickness of the sample as well as its permittivity. However, the recurrent big dip at



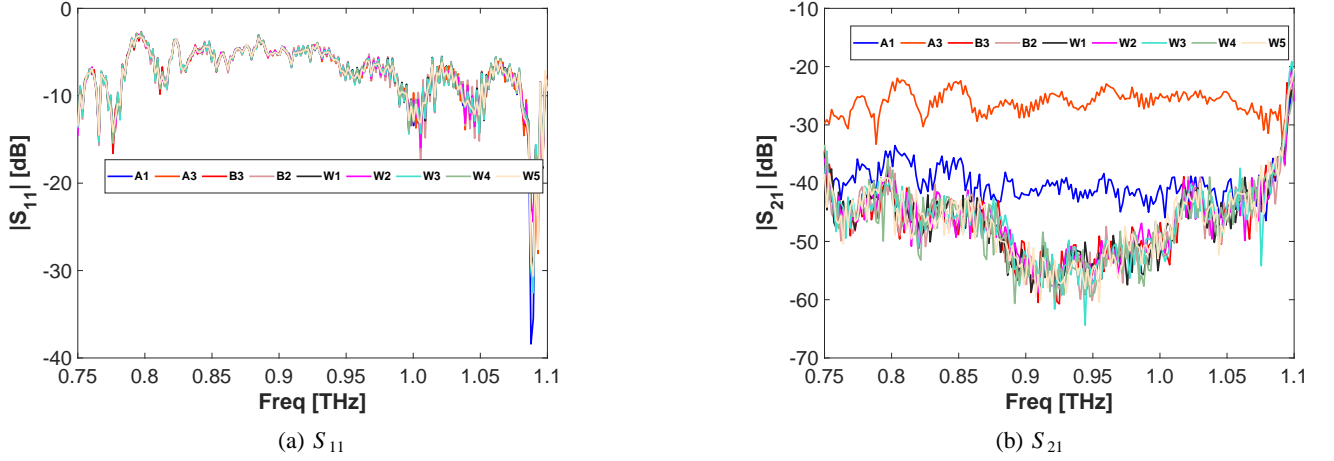


Fig. 4: Measured S-parameters versus frequency of indoor materials using MCK system (*Material Groups: Wood, Plastic and Brick*).

1.08 THz in  $S_{11}$  for all MUTs is likely attributed to errors and discrepancies in the measurement process, i.e., owes to the standing waves.

### B. Roughness Analysis

In Table II the average roughness  $\sigma_h$  for each of the 6 rough materials is tabulated. The profilometer is employed for measuring the roughness of W3 and W4 samples. In case of profilometer, the sample is firstly placed on the X-Y stage. The measurement parameters such as speed, length, profile type, range, direction, stylus force and filter level are set as desired. The stylus is then moved to a desirable measuring point on the sample. The output of the profilometer measurements is in .xml format. These multiple output files are then processed using MatLab thus generating a 3D matrix ( $x, y, z$ ) to acquire the surface 3D map and the height histogram of the sample surface. The height histogram is used to display the height distribution of the matrix  $z$  data. Finally, the mean value of the  $z$  data is post-processed in MatLab to calculate the standard deviation of height  $\sigma_h$ .

The  $\mu$ surf confocal microscope is used to obtain the roughness values for W1, W2, W5, and B3. Confocal microscopy makes possible the study of the 3D surface structures at sub micron level. The sample is initially placed on an X/Y measurement table. The objective lens is vertically moved via a  $z$ -positioning unit which allows the acquisition of multiple digital images at different heights resulting in an image stack. Further, the output stacks of these .nms format files is post processed using  $\mu$ surf software to generate a 3D model representing the sample surface in true height coordinates ( $x, y, z$ ). Each individual pixel in this 3D model refers to the surface height and the measured height values for each of these result in a precise 3D reconstruction of the surface. In addition, a 2D view is also obtained with a table of roughness parameters measured in nm allowing different types of filters to be applied for segregating the roughness and waviness components from the primary surface. As the confocal microscopy system measures maximum up to a field size of 7.4 mm x 7.4 mm, the stitching feature of the

device is utilized to analyze large samples thereby combining individual measurements carried out at different positions. A Gaussian filter with 2 mm correlation length is applied by the  $\mu$ surf software when measuring the four samples at 5 different positions. Finally, the average of these measurements is then employed to calculate  $\sigma_h$ .

The roughness values are shown (*cf.* Table II) with the typical scanned length set in measurements for the respective material samples. The results from surface roughness measurement instruments thus assort the material samples from most to least rough in this order, i.e., B3, W2, W1, W5, W3 and W4.

TABLE II: THE MEASURED STANDARD DEVIATION HEIGHTS

Material Sample	Scanned Length	$\sigma_h$
W1 <sup>a</sup>	7.4 mm x 7.4 mm	0.0468 mm
W2 <sup>a</sup>	7.4 mm x 7.4 mm	0.0503 mm
W3 <sup>b</sup>	50 mm (1D)	0.0115 mm
W4 <sup>b</sup>	50 mm (1D)	0.0111 mm
W5 <sup>a</sup>	3.07 mm x 1.59 mm	0.0122 mm
B3 <sup>a</sup>	7.4 mm x 7.4 mm	0.0950 mm

<sup>a</sup>  $\mu$ surf Confocal Microscope

<sup>b</sup> Profilometer XP-Plus 200 Stylus

### C. Rayleigh Roughness Factor

In Fig. 5, the Rayleigh roughness factor  $\rho_{\text{spec}}$  illustrates the impact of scattering and surface roughness on the 6 rough material samples versus frequency. The measured modified reflection coefficient is divided by the conventional reflection coefficient to obtain the Rayleigh roughness factor (*cf.* Eq. (8)). One is most likely able to extract a general rule from Eq. (4) that the Rayleigh roughness factor decreases with the relative increase in surface roughness, as is well evident from Fig. 5. Perhaps, the decrease in  $\rho_{\text{spec}}$  is only due to the impact of surface roughness as the angle of incidence is fixed, i.e.,  $\cos(0^\circ) = 1$ , and thus causes no influence. It is evident that the material sample W4 is amongst the least rough whereas B3 is the most rough material. Upon

averaging over the frequencies, the Rayleigh roughness factor thus assorts the material samples from most to least rough in a similar order thus exhibiting a good agreement with the results obtained from the surface measurement tools. A point worthy of notice in the comparison between these two is that the Rayleigh roughness factor approach enables one to observe the frequency influence (*cf.* Fig. 5) on the material characterization. In contrast, the lateral and vertical scan resolutions from the surface measurement tools are the most important characterization parameters for surface roughness measurements (i.e.,  $\sigma_h$ ) and not the carrier frequencies.

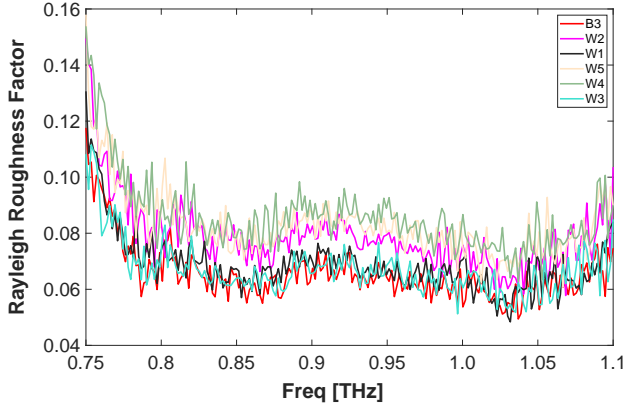


Fig. 5: Calculated Rayleigh roughness parameter  $\rho_{\text{spec}}$  versus frequency for six rough materials at angle of incidence  $0^\circ$  (i.e., transmission geometry).

#### D. Reflection Coefficients

Fig. 6 plots the theoretical (green line) and measured (red line) modified reflection coefficients  $\bar{\Gamma}$  in transmission geometry for the rough materials in our study such as W1, W2, W3, W4, W5, and B3. Here, the theoretical modified reflection coefficients are obtained using Eq. (7). The  $\sigma_h$  for each material taken from Table II is inserted in Eq. (4) to obtain  $\rho_{\text{spec}}$ . The conventional Fresnel reflection coefficients  $\Gamma$  excluding the influence of roughness are also shown in Fig. 6 as benchmark.

The constant blue line in Fig. 6 for all materials highlights the ideal behaviour of Fresnel reflection coefficient in case of lossless materials. Generally, the Fresnel reflection and transmission coefficients are well-described for the two idealized materials namely, perfect dielectrics [25] and perfect electric conductor [26]. The red curves in Fig. 6 for all materials depicting the influence from the increase in frequency express indeed good agreement with the measured modified reflection coefficients beyond 750 GHz as reported in the literature [13]. In short, Fig. 6 dominantly narrates the impact of the electrical properties (i.e., permittivity) on the conventional Fresnel reflection coefficients. The comparatively significant impact of roughness in case of both theoretical and measured modified reflection coefficients is also visible in this figure. Another point worthy of mention is that the aforementioned observation in our study applies when angle of incident  $\Theta_i = 0^\circ$ .

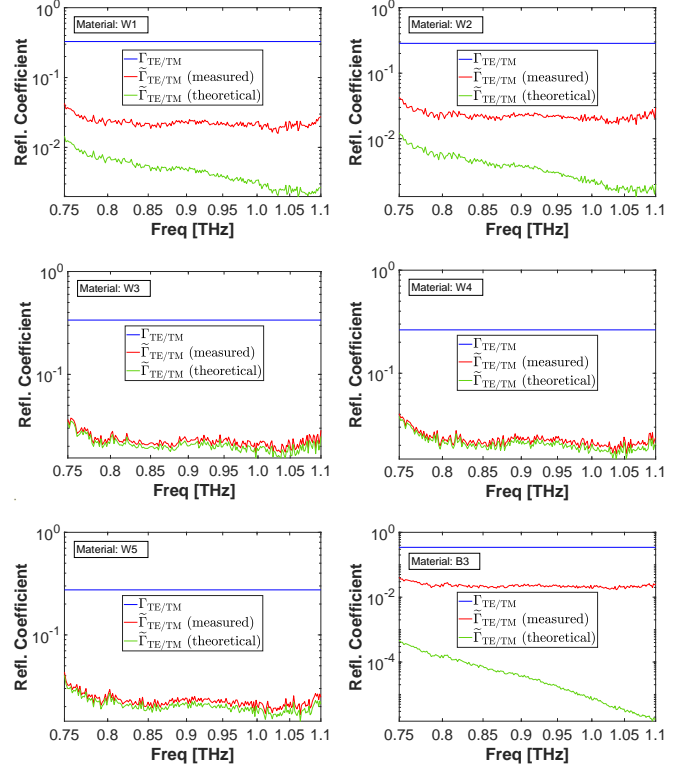


Fig. 6: Magnitude of conventional, theoretical and measured modified reflection coefficients for angle of incidence  $0^\circ$  versus frequency for TE/TM polarized wave (*Materials: Rough*).

#### V. RAY TRACING SIMULATIONS

The THz regime is indubitably among today's candidates with a huge potential to explore veiled applications in wireless communications with centimeters to hundreds of meters in range. Earlier experiments [2], [27]–[29] have been limited to desktop scenario of up to one meter relying on line-of-sight (LoS) conditions. In our previous experiment [1], the line-of-sight channel measurements have been presented in the frequency range (300–310) GHz at 5 m distance. Nevertheless, the aforementioned studies are not conducted in NLoS scenarios. The knowledge of the reflected paths with maximum path lengths imperative for defining both the use-cases and real world applications is thus lacking. To the best of the authors knowledge, no such works exist which target this imperative knowledge for either the aforementioned or any other indoor materials.

Abiding by the already approved IEEE 802.15.3d-2017 Standards, the carrier frequency  $f_c$  of interest in our study is 300 GHz unlike the chosen frequency range for measurements (i.e., 750 GHz - 1.1 THz). The 300 GHz measurements were opted keeping in account the restricted dynamic range of the WM-250 (WR-1.0) extenders with the peak dynamic range of 94 dB at 10 Hz intermediate frequency (IF) bandwidth. A spectral window within this carrier frequency offers 47 GHz of continuous bandwidth with low atmospheric attenuation which allows a 100 Gb/s high throughput even with a simple modulation scheme. Also, at  $f = 300$  GHz (spectral window)

TABLE III: MEASURED PARAMETERS OF MATERIALS USED IN RAY-TRACING

Mat. group	ID	$\tilde{\epsilon}_r$	$\tilde{\epsilon}_i$	$\sigma_h$	Thickn.
Wood	W1	3.88	0.192	0.0468 mm	7.5 mm
	W2	3.23	0.10	0.0503 mm	5.5 mm
	W3	4.06	0.152	0.0115 mm	12 mm
	W4	2.86	0.474	0.0111 mm	14 mm
	W5	3.08	0.123	0.0122 mm	10.5 mm
Plastic	A1	2.64	0.0190	0.0000 mm	4.75 mm
	A3	2.4	0.0340	0.0000 mm	1.2 mm
Brick	B2	4.0	0.0820	0.0000 mm	6.5 mm
	B3	4.20	0.10	0.0950 mm	9.7 mm

the atmospheric attenuation for both LoS and NLoS cases is identical and as low as 0.1 dB [30].

#### A. Link Budget Analysis

At 300 GHz, using the Friis formula to determine the received power at the transceiver in our realistic VNA-based channel measurement system gives

$$P_{RX}(d, f_c) = P_{TX} + G^{TX} + G^{RX} - PL(d, f_c) \quad (9)$$

Here, *transmit power*  $P_{TX}$  equal to -10 dBm represents the test port power or a baseband signal power from the R&S ZVA67 VNA. The R&S ZC330 converter (i.e., a frequency extension module) acting as a transceiver contains frequency multipliers to transform the VNA's port RF signal into our target frequency range.  $G^{TX}$  and  $G^{RX}$  are the gains for transmitter and receiver antennas, respectively. At 300 GHz, these gains are equal to 25.45 dBi and can be doubled to obtain the total gain due to use of horn antenna as the transceiver.  $PL(d, f)$  is the path loss which collectively represents  $L_F$  being the free space path loss (FSPL), atmospheric attenuation loss  $\alpha(f_c)$ , and the miscellaneous losses  $X_m$  further including transmitter conversion losses  $L_{TX}$ , depolarization losses due to surface scattering  $L_{DP}$ , reflection losses from MUT  $L_{RL}$ , and losses from receiver  $L_{RX}$ . With  $d$  being the path length from horn antenna as transceiver (i.e., TX) to reflection point (i.e., MUT) and then further from reflection point to transceiver (i.e., RX). Thence, the path loss in 300 GHz is expressed as

$$PL(d, f_c) = L_F + (\alpha(f_c) d) + X_m \quad (10)$$

or

$$PL(d, f_c) = 20 \log \left( \frac{\lambda_c}{4\pi d} \right) + (\alpha(f_c) d) + L_{TX} + L_{DP} + L_{RL} + L_{RX} \quad (11)$$

where  $\lambda_c$  is the wavelength at hand. It is worth pointing out that the  $L_{DP}$  here represents the change in polarization of the incident wave due to surface scattering from a rough surface and not geometrical depolarization. Because reflection by a rough surface is not only scattering the field towards non-specular directions but also depolarizing it. Likewise, the 300 GHz measurement equipment is calibrated as such to minimize the  $L_{TX}$  and  $L_{RX}$  to no more than 1 dB. Finally, Eq. (9) is rewritten to give the received power as

$$P_{RX}(d, f_c) = P_{TX} + G^{TX} + G^{RX} - 20 \log \left( \frac{\lambda_c}{4\pi d} \right) - (\alpha(f_c) d) - L_{TX} - L_{DP} - L_{RL} - L_{RX} \quad (12)$$

#### B. Simulated Environment Scenario

The path loss is required in order to calculate the received power (*cf.* Eq. 9). The simulations thus conducted enabled us to obtain this for the chosen 9 material samples. The simulation results for two of these material samples namely, W2 and A1 are then experimentally validated. These measurements are conducted at  $f_c = 300$  GHz using a 25.45 dBi horn antenna transceiver based VNA measurement setup. Unlike simulations, the MUTs employed for the validation measurements are 1.5 m in dimensions. The horn from measurement setup is designed using CST MWS [31] and the 3D radiation pattern at 300 GHz is then exported for ray tracer simulations. The simulated environment is modeled as a reflection scenario comprised of an empty seminar room BB1204 in our Institute of Digital Signal Processing (DSV). This seminar room layout is 10 m (*length*) x 5.3 m (*width*) x 5 m (*height*) in dimensions as shown in Fig. 7.

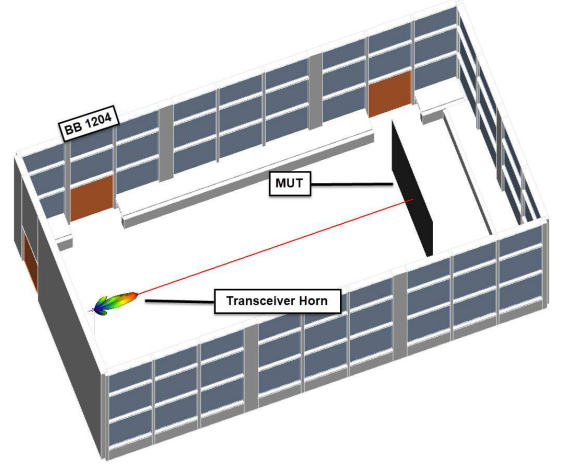


Fig. 7: Simulated realistic seminar room BB1204 environment with CST designed 3D radiation pattern from horn antenna.

In the simulation setup, the transceiver horn at a height of 1.5 m is placed at a distance of 7.2 m from the MUTs. This separation distance is the most suited in the given setup particularly chosen keeping in account the measurement setup and to achieve the reflected paths with maximum path lengths. As the transceiver simultaneously acts as a transmitter and receiver, a reflection of 14.4 m is thus achieved and the FSPL in this case is 105.1 dB. The first Fresnel zone radius is 4.24 cm and the beam forming distance (far field) begins at 12.8 cm for the chosen 300 GHz frequency. The MUTs considered for the simulations are identical in dimensions being 3 m with different thicknesses tabulated in Table III. This Table also presents the electrical parameters measured using FMCW radar system in [32] but with a THz source of 250 GHz. These measured electrical parameters at 250 GHz are considered



TABLE IV: RELATIVE RECEIVED POWER FOR REFLECTED PATH FROM MATERIAL SAMPLES

Material Sample	Simulated PL [dB]	Simulated $P_{RX/TX}$ [dB]	Measured $P_{RX/TX}$ [dB]	$X_m$ [dB]
R	105.38	-64.48	-63.18	—
W1	111.59	-70.69	—	6.21
W2	115.89	-74.99	-74.96	10.51
W3	110.17	-69.27	—	4.79
W4	112.26	-71.36	—	6.88
W5	113.83	-72.93	—	8.45
A1	112.40	-71.5	-70.39	7.02
A3	115.05	-74.15	—	9.67
B2	119.79	-78.89	—	14.41
B3	118.75	-77.85	—	13.37

constant at the carrier frequency of interest (300 GHz) as well affirmed by [6].

The horn antenna transceiver is vertically polarized with an average half-power beam-width of around  $8^\circ$  and  $10^\circ$  in H-plane and E-plane, respectively. Based on this employed high gain horn antenna, the beam spot size at the 7.2 m separation distance is 1.2 m in diameter and thus well within the MUT dimensions. The beam spot diameter holds a pivotal position in the THz communications and certainly deserves an in-depth investigation.

### C. Simulation Results

In Table IV we present the results of our simulations and measurements. An overview of the relative received power, path loss, and miscellaneous losses for the reflected path from the 9 MUTs each is given. In addition, the metal-plate reflector denoted as *R* is employed to validate both the results and assess the miscellaneous losses (i.e., reflection and depolarization losses etc.). The measured relative received power ( $P_{RX/TX}$ ) for the metal-plate *R* is higher than expected as evident from the simulation results. Perhaps, this is due to the preset value by the ray tracer for this idealized material.

An observable fact upon reviewing the smooth materials (A1, A3 and B2) is that unlike rough materials the absorption and transmission attenuation is the causative factor in this case and not surface scattering. According to the electrical parameter (i.e., complex permittivity), it is apparent that A1 is more reflective as compared to A3. Meanwhile, the transmission coefficients  $S_{21}$  (cf. Fig. 4b) for both A1 and A3 reveal that the transmission attenuation is more in case of A1 owing to its thickness as compared to A3. However, the miscellaneous losses (see Table IV) in case of A1 and A3 are 7.02 dB and 9.67 dB, respectively. It is noteworthy to mention that in case of smooth materials  $X_m$  represents mainly the reflection losses  $L_{RL}$ . This concludes that the  $L_{RL}$  are comparatively more in A3. The smooth material B2 manifests as the most reflective considering the electrical parameters and most lossy attributing to its thickness and transmission attenuation apparent from  $S_{21}$  (cf. Fig. 4b). Moreover, Fig. 8 further elaborates the Table IV by illustrating the reflection losses from the 9 MUTs at 300 GHz for different incident angles.

Next, we employed R-R model in case of the 6 rough materials which considers attenuation only in the specular

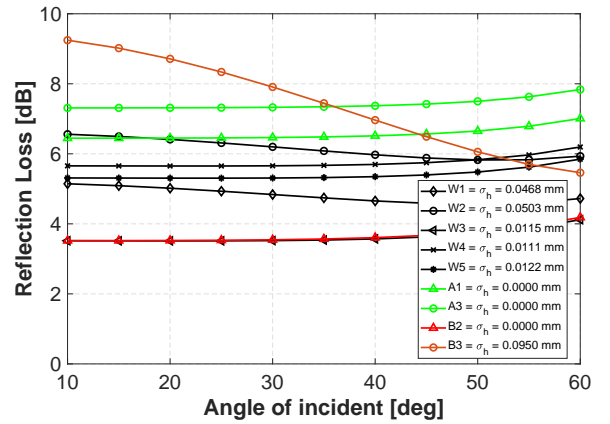


Fig. 8: Reflection losses from combined Fresnel at 300 GHz for different incident angles for materials listed in Table III.

direction of reflection. The  $X_m$  mainly comprises of the  $L_{RL}$  and  $L_{DP}$  losses. As can be acquired from the results, B3 is most reflective (i.e.,  $\tilde{\epsilon}_r = 4.20$ ) but also most lossy (i.e.,  $X_m = 13.37$  dB) among the rough materials. This is mainly due to the impact of roughness affirming that the surface roughness costs far more reflection loss than its electrical properties do [14, p. 5]. However, it should be noted that B2 is comparatively less thick than B3 but exhibits more transmission attenuation (cf. Fig. 4b). Further, W3 is the least rough and also least lossy among the rough materials. Upon further comparison, W5 is more reflective than W4 but less than W2 which is comparatively more lossy due to its highest roughness among these three MUTs. Lastly, in case of B3, W2 and W1, the depolarization losses are predominantly attributive to their comparatively high roughness among all materials. To sum up, the first-order reflected paths in case of smooth materials are expected to arrive 7.02 dB, 9.67 dB, and 14.41 dB below the direct path (line-of-sight path) for A1, A2 and B2, respectively. Likewise, in case of rough materials these first-order reflected paths arrive 6.21 dB, 10.51 dB, 4.79 dB, 6.88 dB, 8.45 dB and 13.37 dB below the direct path (line-of-sight path) for W1, W2, W3, W4, W5 and B3, respectively.

## VI. CONCLUSION

This paper introduces a new approach within optically smooth indoor materials to assort these from most to least rough

based on the Rayleigh roughness factor. The THz *Swissto12* System is employed in transmission geometry for measuring the S-parameters in the frequency range 750 GHz to 1.1 THz. It is evident from the S-parameters that all investigated indoor material groups are considered to be high-loss materials at THz frequencies. In addition, the indoor materials are less reflective at THz frequencies due to high scattering losses. Considering the Rayleigh roughness factor, the material sample *W4* is assorted as the least rough whereas *B3* is the most rough material in our study. This assortment is in agreement with the results obtained from surface measurement instruments. Our study accumulates though only few material groups but these results may act as a stimulus in future for the anticipated applications in THz wireless communications and material characterization. The beam spot diameter from high gain horn antenna with beam divergence holds a pivotal position for channel modeling in THz communications. We, thus vision a thorough quantitative investigation on its implications in our future research for other material groups as well.

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